PTO/SB/21 (01-09) (modified)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission\*

Complete if Known

Application Number 10/562,277

Filing Date December 22, 2005

First Named Inventor Hiroyuki Koyama

Art Unit 2832

Examiner Name Unknown

Attorney Docket No. YH0023-US1

**ENCLOSURES (Check all that apply)** Fee Transmittal Form After Allowance Communication Drawing(s) Fee Attached Licensing-related Papers Appeal Communication to Board Amendment/Reply Petition of Appeals and Interferences Petition to Convert to a After Final Appeal Communication to TC Provisional Application Affidavits/declaration(s) (Appeal Notice, Brief, Reply Brief) Power of Attorney, Revocation Extension of Time Request Proprietary Information Change of Correspondence **Express Abandonment Request** Status Letter Address ✓ Information Disclosure Statement Other Enclosure(s) (please **Terminal Disclaimer** Certified Copy of Priority identify below): Request for Refund Document(s) Return postcard, SB08a, CD, Number of CD(s) Reply to Missing Parts/Incomplete SB08b, and art as indicated Landscape Table on CD Application Remarks: (\*Duplicate copies of SB08a and SB08b, and copies of Return Postcard and Reply to Missing Parts under Cited Art, if any, are not counted in total number of pages in this submission.) 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Tyco Electronics Corporation Marguente E. Perobre Signature Printed Name Marguerite E. Gerstner Reg. No. Date March 13, 2009 32,695

# I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Typed or printed name Marguerite E. Gerstner Date March 13, 2009

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-08)(modified)
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Complete if Known es pursuant to the Consolidated Appropriations Act. 2005 (H.R. 4818). Application Number 10/562,277 **FEE TRANSMITTAL** December 22, 2005 Filing Date Hiroyuki Koyama First Named Inventor For FY 2009 **Examiner Name** Unknown Applicant claims small entity status. See 37 CFR 1.27 2832 Art Unit YH0023-US1 Attorney Docket No. TOTAL AMOUNT OF PAYMENT

METHOD OF PAY	MENT (check a	III that apply)					
Check Cred		Money Order	None	Other (please	identify):		
Deposit Account	Deposit A	ccount Number:	18-0560	Deposit Account			
For the above-i	dentified depo	sit account, th	e Director is	s hereby authoriz	ed to: (chec	k all that app	ly)
Charge fee(s	s) indicated belo	ow		Charge fe	ee(s) indicated	below, except	t for the filing fee
		) or underpayme	nts of fee(s)	Credit an	y overpaymen	ts	
under 37 Cl	R 1.16 and 1.1	7					
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FEE CALCULATIO			ue upon fil	ling or may be s	ubiect to a s	surcharge.)	-
1. BASIC FILING,						<u>J.,</u>	
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Application Typ	e Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fees Paid (\$)
Utility	330	165	540	270	220	110	
Design	220	110	100	50 .	140	70	
Plant	220	110	330	165	170	85	
Reissue	330	165	540	270	650	325	
Provisional	220	110	0	0	0	0	
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3. APPLICATION S	•		, ,				
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SUBMITTED BY							
Signature		-	*	Registration No 32,695	. (Attorney/Ag		hone 361-2483
Name (Print/Type)	Marguerite E. G	Gerstner		02,000			March 13, 2009
Certificate of Mailing (37 CFR 1.8)							
I hereby certify that this						il in an envelope	addressed to the
Commissioner for Paten			22313-1450,				
Date of deposit:		13, 2009		Name (printed)	·	Marguerite	E. Gerstner
Signature: <u>Y</u>	Margues	te 8 )	<u>kidne</u>				





# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	Group Art Unit: 2832	
HIROYUKI KOYAMA	Examiner: Unknown	
Application No.: 10/562,277	) TYCO ELECTRONICS CORPORATION ) 309 Constitution Drive ) Menlo Park, CA 94025	
Filed: December 22, 2005		
For: PTC THERMISTOR AND METHOD FOR PROTECTING CIRCUIT	) March 13, 2009 )	
DISCLOSURE STATEMENT	<u>Γ UNDER 37 CFR § 1.56</u>	
Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450		
Sir:		
In fulfilling the duty of candor and good faith disclosed to the Patent Office in accordance with 37 information in the listed documents is material to pat The Examiner is requested to consider the documents.  Accompanying this statement are Forms PTO	CFR § 1.56. It is not admitted that the entability as defined in 37 CFR § 1.56(b). In the examination of this application.	
which the documents are listed. The Examiner is requored of the forms once the documents have been considered	uested to return an initialed and signed copy	
The following documents were cited by the E European Search Report for European Application N	- 11	
CERTIFICATE OF MAILING UNDER 37 CFR §1.8  I hereby certify that this correspondence is being deposited with the Uniclass mail in an envelope addressed to the Commissioner for Patents, I shown below:	ted States Postal Service with sufficient postage as first P.O. Box 1450, Alexandria, VA 22313-1450, on the date	
Name of person signing certificate: Marquerte E. Signature: Marquerte E. Perstre	Gerstner	
Signature: Marquente E. Merstra	Date: March 13, 2009	

this application and also draws priority from International Application No. PCT/JP2004/009076 as does this application. All four documents were cited in category "A" as "technological background". A copy of the Supplementary European Search Report is attached.

### U.S. PATENT DOCUMENTS

Document Number	Publication Date	Name of Patentee or Applicant	Category
US-3,974,105-A	08-10-1976	Sato et al.	A
US-5,358,793-A	10-25-1994	Hanada et al.	A
US-6,445,277-B1	09-03-2002	Ishikawa et al.	A

#### FOREIGN PATENT DOCUMENTS

Document Number	Publication	Name of Patentee	Translation	Category
	Date	or Applicant		
JP-06-163203-A 06-10-1994		Toshiba Chemical	Abstract & machine	Α
		Corp.	translation	

The following documents were cited in the examination of Chinese Patent Application No. 200480017532.0, which is also a counterpart of the present application.

# FOREIGN PATENT DOCUMENTS

Document Number	Publication	Name of Patentee or Applicant	Translation
	Date		
JP-06-163203-A	06-10-1994	Toshiba Chemical Corp.	Abstract & machine translation
CN-1283304-A*	02-07-2001	Tyco Electronics Corporation (Raychem Corporation)	Counterpart

<sup>\*</sup>Counterpart of International Publication No. WO99/31677, published June 24, 1999.

Japanese Publication No. 06-163203A was included in the Disclosure Statement submitted with the Preliminary Amendment for this application. It is, therefore, not listed on the Form PTO/SB/08A although it was cited by both the European and Chinese patent offices. A copy of a machine translation of this document, now available, is being sent. (Only an abstract was sent with the previous Disclosure Statement.)

# Copies of Documents

In accordance with 37 CFR §1.98(a)(2), copies of the foreign patents and foreign patent publications documents listed above are enclosed. Copies of the listed U.S. patents are not being submitted, although copies will be sent on request.

### <u>Fee</u>

Applicant believes that because this Disclosure Statement is being submitted before the mailing of a first action on the merits, no fee is due. If this is incorrect, please charge any necessary fee to Deposit Account No. 18-0560.

## Conclusion

It is believed that this application is now in condition for allowance and such action at an early date is earnestly requested. If, however, there are any outstanding issues which can be usefully discussed by telephone, the Examiner is asked to call the undersigned.

Respectfully submitted,

Marguerite E. Gerstner

Registration No. 32,695

Telephone (650) 361-2483

Marquente E. Berstren